

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

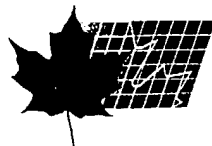
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.



Ottawa Hull K1A 0C9

(21) (A1) 2,147,846
(86) 1994/04/11
(43) 1995/03/02

(51) Int.Cl. ⁶ G06T 15/50

(19) (CA) **APPLICATION FOR CANADIAN PATENT** (12)

(54) Object-Oriented Shading

(72) Ho, Hsuen-Chung - U.S.A. ;
Howard, Maire Lee - U.S.A. ;
Marsh, Donald M. - U.S.A. ;
Peterson, John - U.S.A. ;
Seidl, Robert - U.S.A. ;
Watanabe, Ryoji - U.S.A. ;

(71) TALIGENT, INC. - U.S.A. ;

(30) (US) 111,241 1993/08/24

(57) 26 Claims

Notice: This application is as filed and may therefore contain an incomplete specification.



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

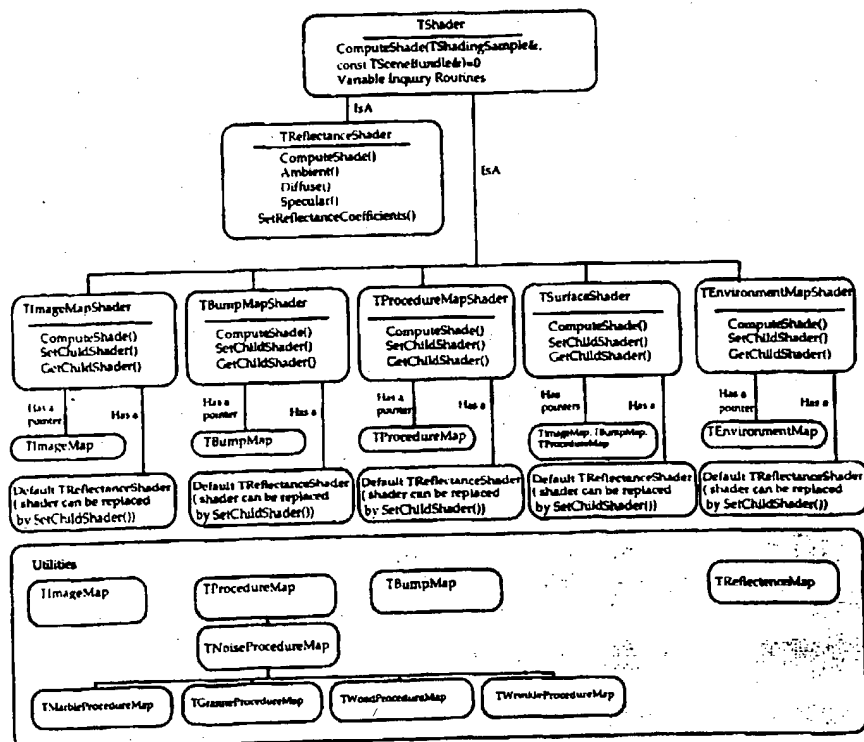
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: G06T 15/50		A1	(11) International Publication Number: WO 95/06298
		(43) International Publication Date: 2 March 1995 (02.03.95)	
(21) International Application Number: PCT/US94/03982		(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 11 April 1994 (11.04.94)			
(30) Priority Data: 08/111,241 24 August 1993 (24.08.93) US			
(71) Applicant: TALIGENT, INC. [US/US]; 10201 N. de Anza Boulevard, Cupertino, CA 95014 (US).		Published With international search report.	
(72) Inventors: HO, Hsuen-Chung; 10200 N. Blaney Avenue, Cupertino, CA 95014 (US). HOWARD, Maire, A.; 1329 Dale Avenue, San Jose, CA 95125 (US). MARSH, Donald, M.; 476 Dell Avenue, Mountain View, CA 94043 (US). PETERSON, John; 12 Bishop Lane, Menlo Park, CA 94025 (US). SEIDL, Robert; 946 Colonial Lane, Palo Alto, CA 94303 (US). WATANABE, Ryoji; 22284 Palm Avenue, Cupertino, CA 95014 (US).			
(74) Agent: STEPHENS, L., Keith; Taligent, Inc., 10201 N. de Anza Boulevard, Cupertino, CA 95014 (US).			

(54) Title: OBJECT ORIENTED SHADING

(57) Abstract

A method and apparatus for computing and providing shading information for use in an object oriented framework, and which provide a renderer with a set of shading-related objects and options which guide shading calculation. Shaders are provided which serve as flexible user-defined modules for calculating image values representing a plurality of surface properties. A user designates a selected shader to compute shading from a plurality of different parameters (e.g., light sources, orientation of an object surface, type of material of a surface of an item, etc.), with the choice of shaders being made by the user on the basis of desired speed and image quality of the resultant image. Shaders are freely interchangeable with each other and are capable of being used with the renderer, with little, if any, modification of the shaders. The inventive method includes displaying an image of an item, establishing a plurality of user-defined shaders each for processing data representing the item being displayed, detecting at least one property (e.g., a shading data) of a plurality of properties of a surface of the item being displayed, automatically generating, based on the at least one property of the surface and at least one of the user-defined components for the item, a shading calculation, and modifying a display of the item based on the shading calculation.



OBJECT ORIENTED SHADING

BACKGROUND OF THE INVENTION

Field of the Invention

5 The present invention generally relates to improvements in calculation and generation of a 3D object in an object oriented environment and more particularly to a method, apparatus and framework for determining the shading of a 3D surface during rendering and which provides a renderer with shading-related objects and options that guide shading calculation. The invention
10 allows an applications developer to selectively choose any shader(s) in a shader framework resident in an object oriented operating system for use with a renderer, with little, if any, modification of the renderer. Alternatively, the applications developer may develop customized shader(s) which override the shader framework in the operating system.

15

Description of the Related Art

In traditional 3D hardware graphics systems, the formula for color (or shading) computation is fixed. As a result, if users desire a different shading quality, they must purchase a different dedicated hardware graphics system.
20 Similarly, the majority of software packages allow only a limited set of shading effects. As a result, users must switch between different software packages to produce a different shading quality. Such practices result in inefficient and inconvenient software development and usage. Further, the shading qualities cannot be customized to the user's particular needs and the software cannot be
25 readily extended as new developments and needs occur.

SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a shader framework resident in an object oriented operating system which is
30 callable and which includes a plurality of shaders which incorporate a wide range of surface properties and which can be selectively employed with a renderer with minimum interface software development.

In one aspect of the invention, a shader framework is provided for in the operating system for being called for computing shading based on different
35 properties. The shader framework includes a plurality of shader classes and default shader classes which are callable and preferably includes facilities for generating a texture map, a bump map, and a reflection map in the shading model to increase realism. Additionally, shading may be performed with a

2147846

-2-

procedural definition so as to generate images as if objects are made of a real material such as wood or marble. The applications developer freely chooses appropriate shaders in the shader framework according to the trade-offs between speed and image quality. Alternatively, the developer may create custom shaders which override some or all of the properties of the shaders in the shader framework. With the invention, all that is required is to establish the shader and then the renderer invokes the shader.

With the invention, the graphics system provides a clean interface between a rendering routine and a shader, in which little if any modification of a renderer is required when a different shader is used. Thus, the shaders are extremely easy to use and implement. Further, the modification for shaders is minimized whenever a different renderer is used.

The preferred operating system provides sufficient facilities to achieve a basic illumination model. The preferred object oriented operating system also provides many shader utilities to be used as building blocks to construct complicated shaders. Some complicated shading effects can be achieved through multiple shaders arranged in a 'pipeline' fashion or implemented as shader trees.

Thus, with the invention, an object oriented framework is provided for computing 3D shading in which a plurality of default renderer and shader objects are provided. As objects, the preferred operating system includes a default 3D rendering pipeline that converts geometries defined in 3D space to images on an output device. Pipeline elements include objects such as a surface tessellator (which converts a 3D surface to smaller pieces) and a renderer (which displays surfaces based on the visibility and computed colors). Shaders in the shader framework, as mentioned above, that perform image texture mapping, bump mapping, environment mapping, and procedural texture mapping are provided. Each shader in the shader framework has a default reflectance shader that implements the local illumination shading models. Utilities for creating various maps are also provided. The user can choose appropriate shaders with little if any modification of the renderer. Likewise, renderers may be selected with little modification of the shaders when different renderers are used.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, aspects and advantages will be better understood from the following detailed description of a preferred embodiment of the invention with reference to the drawings, in which:

Figure 1 is a pictorial diagram showing a general purpose computer

system capable of supporting a high resolution graphics display device and a cursor pointing device, such as a mouse, on which the invention may be implemented;

5 Figure 2 is a block diagram of the general purpose computer system illustrated in Figure 1 showing in more detail the principal elements of a computer system in accordance with a preferred embodiment;

Figure 3 is an illustration of the 3D attribute bundle object for determining the colors of a 3D surface during rendering in accordance with a preferred embodiment;

10 Figure 4 is an illustration of inside and outside surfaces of an open cylinder in accordance with a preferred embodiment;

Figure 5 illustrates examples of a color space in accordance with a preferred embodiment;

15 Figure 6 illustrates a relationship between a renderer and a shader in accordance with a preferred embodiment;

Figure 7 is a schematic of the shader object and the default shader hierarchy and illustrates implementation of the shader in accordance with a preferred embodiment;

20 Figure 8 illustrates shading variable initialized by the renderer in accordance with a preferred embodiment;

Figure 9 illustrates symbols used in shading equations in accordance with a preferred embodiment;

Figure 10 illustrates light objects employed by the preferred operating system in accordance with a preferred embodiment;

25 Figure 11 illustrates a default shader equation used by a TReflectanceShader class in accordance with a preferred embodiment;

Figure 12 is a diagram showing the selection of an ObjectColor from fBaseColor of TShadingSample in accordance with a preferred embodiment;

30 Figure 13 illustrates an example of mapping from screen pixel to a surface and then to the texture map in accordance with a preferred embodiment;

Figure 14 illustrates the relationship between screen pixels, geometry, and a texture map in accordance with a preferred embodiment;

Figure 15 illustrates an approximation of a texture area in accordance with a preferred embodiment;

35 Figure 16 illustrates an example of bump mapping in accordance with a preferred embodiment;

Figure 17 illustrates old and new normal axes in a bump map in accordance with a preferred embodiment;

Figure 18 is a 2D reflection map and illustrates extending a map from 2D to 3D in accordance with a preferred embodiment;

Figure 19 is a cubic environment map in accordance with a preferred embodiment;

5 Figure 20 illustrates the preferred operating system's sample procedure maps in accordance with a preferred embodiment;

Figure 21 illustrates a color spline for noise shaders which maps a value to a color in accordance with a preferred embodiment;

10 Figure 22 is a texture image used for bump mapping in accordance with a preferred embodiment;

Figure 23 illustrate images formed with TBumpMapShader class in accordance with a preferred embodiment;

Figure 24 illustrates TSurfaceShader with marble and wrinkle maps in accordance with a preferred embodiment;

15 Figure 25 illustrates TSurfaceShader with image and bump maps in accordance with a preferred embodiment; and

Figure 26 is another illustration of TSurfaceShader with image and bump maps in accordance with a preferred embodiment.

20 *DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT OF THE INVENTION*

Referring now to the drawings, and more particularly to Figure 1, the invention is preferably for use in the context of an operating system resident on
25 a general purpose computer 10. The computer 10 has a system unit 12 a high resolution display device 14, such as a cathode ray tube (CRT) or, alternatively, a liquid crystal display (LCD). The type of display is not important except that it should be a display capable of the high resolutions required for windowing systems typical of graphic user interfaces (GUIs). User input to the computer is
30 by means of a keyboard 16 and a cursor pointing device, such as the mouse 18. The mouse 18 is connected to the keyboard 16 which, in turn, is connected to the system unit 12. Alternatively, the mouse 18 may be connected to a dedicated or serial port in the system unit 12. Examples of general purpose computers of the type shown in Figure 1 are the Apple Macintosh® (registered
35 trademark of Apple Computer) and the IBM PS/2. Other examples include various workstations such as the IBM RISC System/6000 and the Sun Microsystems computers.

Figure 2 illustrates in more detail the principle elements of the general purpose computer system shown in Figure 1. The system unit 12 includes a central processing unit (CPU) 21, random access memory (RAM) 22, and read only memory (ROM) 23 connected to bus 24. The CPU 21 may be any of several commercially available microprocessors such as the Motorola 68030 and 68040 microprocessors commonly used in the Apple Macintosh® computers or the Intel 80386 and 80486 microprocessors commonly used in the IBM PS/2 computers. Other microprocessors, such as RISC (for reduced instruction set computer) microprocessors typically used in workstations, can also be used. The ROM 24 stores the basic microcode, including the basic input/output system (BIOS), for the CPU 21. The operating system (OS) for the computer system 10 may also be stored in ROM 24 or, alternatively, the OS is stored in RAM 22 as part of the initial program load (IPL). RAM 22 is also used to store portions of application programs and temporary data generated in the execution of the programs. The bus 24 may be the Apple NuBus®, the IBM MicroChannel® or one of the industry standards such as the ISA (industry standard adapter) or EISA (extended industry standard adapter) buses.

Also connected to the bus 24 are various input/output (I/O) adapters, including a user interface adapter 25 and an I/O adapter 26. The keyboard 16 is connected to the user interface adapter 25, and the I/O adapter 26 connects to a floppy disk drive 27 and a hard disk drive 28. The floppy disk drive 27 allows the reading and writing of data and programs to removable media, while the hard disk drive 28 typically stores data and programs which are paged in and out of RAM 22. The display device 14 is connected to the bus 24 via a display adapter 29. A communication adapter 30 provides an interface to a network. Other supporting circuits (not shown), in the form of integrated circuit (IC) chips, are connected to the bus 24 and/or the CPU 21. These would include, for example, a bus master chip which controls traffic on the bus 24. The bus 24 may, in some computers, be two buses; a data bus and a display bus allowing for higher speed display operation desirable in a graphic user interface.

A shading architecture model (discussed in further detail below with reference to Figure 7) is based on object-oriented programming principles. Object oriented programming (OOP) is the preferred environment for building user-friendly, intelligent computer software. Key elements of OOP are data encapsulation, inheritance and polymorphism. These elements may be used to generate a graphical user interface (GUI), typically characterized by a windowing

environment having icons, mouse cursors and menus. While these three key elements are common to OOP languages, most OOP languages implement the three key elements differently.

5 Examples of OOP languages are Smalltalk, Object Pascal and C++. Smalltalk is actually more than a language; it might more accurately be characterized as a programming environment. Smalltalk was developed in the Learning Research Group at Xerox's Palo Alto Research Center (PARC) in the early 1970s. In Smalltalk, a message is sent to an object to evaluate the object
10 itself. Messages perform a task similar to that of function calls in conventional programming languages. The programmer does not need to be concerned with the type of data; rather, the programmer need only be concerned with creating the right order of a message and using the right message. Object Pascal is the language used for Apple's Macintosh® computers. Apple developed Object
15 Pascal with the collaboration of Niklaus Wirth, the designer of Pascal. C++ was developed by Bjarne Stroustrup at the AT&T Bell Laboratories in 1983 as an extension of C. The key concept of C++ is class, which is a user-defined type. Classes provide object oriented programming features. C++ modules are compatible with C modules and can be linked freely so that existing C libraries
20 may be used with C++ programs. The most widely used object based and object oriented programming languages trace their heritage to Simula developed in the 1960s by O-J. Dahl, B. Myhrhaug and K. Nygard of Norway. Further information on the subject of OOP may be had by reference to *Object Oriented Design with Applications* by Grady Booch, The Benjamin/Cummings
25 Publishing Co., Inc., Redwood City, Calif. (1991).

 The general concepts of object oriented programming are briefly described above and are believed to be known and will not be described in detail here. Very generally, data is abstracted and encapsulated, with objects
30 representing or containing shading information being represented by varying data format without changing the overall architecture. The interfaces to the object remain constant, with the objects themselves being abstract and independent.

35 The class or object in object-oriented programming design encapsulates structure (e.g., data) and behavior (e.g., so-called "method functions") which operate on the structure. In object-oriented design, an interface is an outside view of a class or object while hiding the structure and behavior of the class or

object. Additionally, all objects descending from a base class inherit the properties of the base class and thus will have the same properties thereof and are polymorphic with respect to base class operations. Hence, objects descending from the base class can be used to represent an instance of the base class and can
5 be substituted whenever a base class is called.

Hence, an unlimited number of shading possibilities can be used by the shading architecture model and the shading architecture model allows shading to be represented without a programmer or user needing to know how the data
10 content is represented. Shaders, which compute a displayed color based on various parameters, e.g., illumination, texture, spatial orientation and color information, may be freely selected with minimal (if any) modification of the renderer, depending upon various parameters (e.g., time, image quality, etc.) Thus, shading possibilities can be easily extended as new models and schemes
15 are developed, as compared to the prior art systems in which fixed (or at the most very limited sets of shading effects) shading computational schemes are employed.

Figure 7 illustrates the basic organization of the invention and can be
20 likened to a Booch diagram. Classes and subclasses of objects (in the object-oriented programming sense of the term) illustrate a hierarchy thereof in accordance with arrows; each line pointing to a next higher level of the hierarchy and thus represents an "is a" relationship. A "has" or containment relationship is shown between selected classes and subclasses. A "uses"
25 relationship indicates the manner in which the use occurs. For a detailed explanation of Booch diagrams, reference is made to "Object Onented Design - With Applications" by Grady Booch as mentioned above.

Preferably, the invention is embodied in object technology which has
30 been developed from so called object oriented programming. Object oriented programming has been of increasing interest as data processing technology has provided increased support for parallel or concurrent processing of many different tasks. In object technology, objects which are preferably emulated with a programmed general purpose computer but which could be considered or
35 actually embodied as special purpose data processors, are provided to perform the various functions which are required. Data required for the relatively small number of methods or procedures which can be performed by each object are closely associate with the object, itself. The methods are encapsulated or hidden

2147846

-8-

from other objects which can call for a particular method to be performed by the object.

Further, objects may be grouped by similar characteristics into classes or subclasses and characteristics of a class either data or methods) may be inherited by a subclass and need not be otherwise specified. Additionally, inherited characteristics may be overridden by objects in a subclass; resulting in a property known as polymorphism (sometimes referred to as run time binding since the override is invoke with a method being performed).

Therefore, within the context of the preferred implementation of the invention, objects and classes thereof are essentially functional elements of an overall system. The functional relationships between these elements are defined in terms of definition of responsibilities (e.g methods or operations which include but are not limited to the creation other objects) and the hierarchical dependencies relating objects and classes of objects. The act of defining the hierarchy and inheritance of objects is generally referred to as "subclassing". Accordingly, the invention will be described in terms of the responsibilities and dependencies of an organization of classes and subclasses as readily understood by those skilled in the art. Virtually any data processing system will contain at least one display device or a display device driver. When the system is booted, internal codes will access various devices contained in (e.g. connected to) the system, including the display device(s) or driver(s), and provide for communication between each device and the central processor over an internal system bus. In broad terms, the video framework in accordance with the invention is activated at this time and is responsive to continual or periodic traversing or "walking" of the bus by one or more configuration access managers to instantiate or delete display devices and drivers as well as to alter control of display presentation, as necessary, to reflect the present state of the system.

To appreciate the nature of the invention, the concept of "framework" and the relationship of a framework to "objects" and "object oriented programming" should be understood. "MACAPP: An Application Framework" by Kurt A. Schmucker, published in Byte magazine August 1986 is an early article describing a framework and the basic concepts embodied therein, which is hereby fully incorporated by reference. An important property of objects is

their ability to encapsulate data and methods for which the object is responsible. That is, a generic command may be issued to an object without need for any other object to know the internal details of how the object will carry out the command.

5

By the same token, there is no need for global compatibility of commands, data, file names and the like and thus objects may be freely associated with one another. A framework is, in essence, a generic application comprising an association of classes of objects with which other objects may be associated, as necessary, to form a more specific application. The framework, as an association of classes of objects with functional interrelationships between classes of objects defined therein may provide any desired degree of general or specific functionality and will provide for correct functionality of additional objects which may be associated with the framework.

10

A framework may thus be regarded as a system which provides an implied network of responsibilities between objects, provides for inheritance between classes of objects (e.g. data and methods of superclasses at higher hierarchical levels of classes of objects), and provides for calling of libraries in response to events. A system formed as a framework may also be customized by the addition of objects which perform more specific functions and which may also override functions provided by the framework. Machine-specific and device-specific objects in various classes and subclasses of the framework allow the framework, itself, to be machine- and device-independent and of generalized applicability. Further, a particular framework is characterized by the interrelationships it establishes between objects and classes of objects in terms of division of responsibilities and inheritance and the functionality it thus achieves. A framework, itself, is also useful as a template for the development of specific applications in which customization and functional overrides may be provided as specific objects therein.

15

Referring to Figure 3, the 3D portion of an attribute object (e.g., a so-called "TGrafBundle3D" object, not discussed in detail in this application) of the preferred operating system, is illustrated which determines the colors of a 3D surface during rendering and provides a renderer with a set of shading-related objects and options that guide shading calculation, with respect to some environmental variables (e.g., a light source, a camera). The 3D renderer provides shading information to the shader which computes a color or shading

20

25

30

35

and returns the computed color to the renderer for output to a display device. To provide an overall understanding of the invention, a very brief description will be provided on some shading objects. Detailed discussion of each element will follow.

5

The operating system allows a surface to be shaded differently according to the surface orientation. A surface is an "outside surface" if it is defined according to the right hand rule (Figure 4). In this case, a 3D pipeline uses 'outside' surface color and 'outside' shader for shading computation to
10 determine the color of this surface.

15

A shading resolution option refers to the frequency of calling the inside or outside shader from a renderer during rendering and it controls image quality. A shading interpolation option controls how to "fill in" the colors for pixels between shading samples. A backface culling option determines whether
a backfacing surface can be skipped during rendering. A texture mapping matrix in TGrafBundle3D establishes the mapping relation between a 3D geometry and a 2D texture. For a surface oriented data base (e.g., TSurface3D), the matrix represents the mapping relation between the (u,v) parameters and a map. Each
20 individual object and option of TGrafBundle3D is discussed hereinbelow in detail.

Inside and Outside Colors

25

```
TGrafBundle3D::AdoptInsideColor(TColor* Color);  
TGrafBundle3D::AdoptOutsideColor(TColor* Color);
```

30

The two pseudo code routines above assign the base colors to inside and outside surfaces. The surface color will be modified by shaders to derive the color for display.

35

The inside or outside color applies to the entire inside or outside surface. A renderer in a 3D pipeline chooses one of the two colors (e.g., determined by the surface orientation, with respect to the camera's position) and always leaves the color in fBaseColor in TShadingSample, as defined below. Any future
modification on colors is entirely determined by shaders. This function takes a TColor object. Users may choose any convenient color space for the color assignment, as shown in Figure 5. TColor allows user to define colors as spectral

-11-

samples with which advanced shading models can be derived.

If the bundle (e.g., shading) is initialized to a color of one type (e.g., RGB) and the shader uses a different color model (e.g. spectral distribution), then
5 color conversion may be necessary at least once during the shading calculation. This conversion is done automatically by TColor subclasses, but can be quite expensive if a per-pixel shading is required. Thus, the same color model for the object color, shader color, and light source color should be chosen. The pseudo code for initializing the colors is set forth below.

10

Default Setting

The inside color is initialized to TRGBColor(1,0,0.).

// Red

15

The outside color is initialized to TRGBColor(1,1,1.).

// White

Example

aGrafBundle.AdoptInsideColor(new TRGBColor(.3,.3,.9));

20

// bright blue

aGrafBundle.AdoptOutsideColor(new THSVColor(0, 0, 1,)); // white

Inside and Outside Shaders

Looking at inside and outside shaders in more detail, the pseudo code for
25 setting the inside and outside shaders is set forth below.

TGrafBundle3D::AdoptInsideShader(TShader* aShader);

TGrafBundle3D::AdoptOutsideShader(TShader* aShader);

30

The above two routines assign shaders to inside and outside surfaces. Based on the surface orientation, a renderer chooses either an inside shader or an outside shader for shading calculation.

Default Setting

35

The default inside shader is an instance of TReflectanceShader.

The default outside shader is an instance of TReflectanceShader.

(TReflectanceShader is explained in greater detail below.)

A shader object, which simulates 3D attributes of a surface, computes colors or shading related variables for a renderer in a 3D pipeline. A renderer (e.g., a Z-buffer), as shown in Figure 6, first determines if a point on a surface is visible relative to all other objects in a scene. If a point is visible, a renderer
5 then initializes a TShadingSample object and passes it to a shader object for shading evaluation, along with some light source objects and the camera object which reside in another object (e.g., TSceneBundle) which is not described in detail in this application. TShadingSample describes local surface characteristics such as position and orientation of the surface at the location being shaded. The
10 information is provided by a renderer. The member function ComputeShade() performs all shading computation and stores the resultant color in TShadingSample for display on the CRT or the like. This process repeats until all primitives are rendered.

15 Multiple shader objects can easily be arranged in a pipelined fashion. At each 'stage' of the pipeline, the function ComputeShade() of a shader modifies TShadingSample and sends TShadingSample down to the next stage in the pipeline. Obviously, a shader may contain pipelined shaders. A renderer executes the function myCompoundShader::ComputeShade() to return the
20 final color.

A key element of the 3D bundle design is the separation of a shader from a renderer. A shader does not need to know how the renderer generates the information. The relationship between a renderer and a shader, the contents of
25 TShadingSample, and a detailed example of using TShadingSample is discussed below. A renderer and a shader are intertwined. A shader relies on a renderer to provide the necessary information (e.g. shading normal) for shading calculation. A renderer relies on a shader to generate the color for final display.

30 Instead of requesting a renderer to recompute the necessary information, a shader 'informs' a renderer about what it needs for shading evaluation. By taking advantage of information coherence among neighboring pixels, a renderer may use a standard scan conversion method to generate the information through linear interpolations among shading samples. In actual
35 implementation, a shader object maintains information about what variables are referenced within the shader and therefore unnecessary calculation by a renderer can be avoided.

-13-

A shader object calculates color-related information based on the TShadingSample object which includes:

Shading Normal -- a vector which is used for shading computation.

Geometric Normal -- a vector which is perpendicular to a surface (e.g.,
5 the cross product of the tangent vectors in the U and V directions).

World Position -- a 3D point defined in world coordinate system.

Texture Coordinate -- the surface texture coordinates.

Filter Basis Vectors-- the filter used for generating anti-aliased texture
(e.g., two vectors are needed to form a filter boundary)

10 **U, V** -- the surface parameters (0 to 1).

TangentU, TangentV-- the derivative of a particular surface location with
respect to U and V (they are sometimes represented by dPdU and dPdV).

dU, dV -- the change in parameters U and V across a surface area.

Base Color -- the surface color to be modified by light sources.

15 **Resultant Color** -- the computed color.

An example of pseudo code for TShadingSample is listed below:

```

class TShadingSample
20 {
    public:
        TGPoint3D      fWorldPosition;

        TGPoint3D      fShadingNormal;
25         TGPoint3D      fGeometricNormal;

        TGPoint        fUV;

        TGPoint3D      fTangentU;
30         TGPoint3D      fTangentV;

        TGPoint        fTextureCoordinate;

        TGPoint        fTextureFilterLength;
35         TGPoint3D      fdU;

        TGPoint3D      fdV;
40         TRGBColor     fBaseColor;

        TRGBColor     fResultantColor;

```


2147846

-14-

};

5 Generally, the shader which modifies TShadingSample is responsible for saving and restoring the original input values. There is a clear distinction between pipelined shaders and sibling shaders. By saving and restoring variables, sibling shaders can always receive the unmodified TShadingSample.

10 Further, virtual function in a shader GetShadingUsageVariables() is used for variable inquiry. For example, if a renderer wants to know what variables a shader pipeline needs, it only has to call a shader's GetShadingUsageVariables(). This routine searches through all shading variables of shaders in a shader pipeline and returns a correct value. A renderer only has to call this routine once for a surface.

15

Another guideline for using TShadingSample includes that only the terminal node returns the computed color to fResultantColor. The first shader is allowed to perform post-processing which modifies fResultantColor. This ensures that there will be no confusion when an intermediate shader chooses a color between fBaseColor and fResultantColor in the middle of the pipeline.

20 Additionally, to design exception-safe shaders in a shader pipeline, a local object, residing in the implementation of ComputeShade(), can be constructed whose job is to swap those variables to be modified. The exception handler guarantees calling the destructor if a software exception occurs. Hence, the data in TShadingSample will still be valid. The pseudo code for a local object, for example, called TSwapVariables may appear as:

```
25 class TSwapVariables
    {
        TSwapVariables(TShadingSample& shadingSample)
    30     { // save variables }
        ~TSwapVariables()
        { // restore variables }
    };
```

35 The shader design should preferably satisfy a wide range of shading requirements--from a very simple flat surface shading (e.g., for quick previewing) to a high quality image rendering (e.g., for printing). The shader design and implementation covers Gouraud and Phong shading, texture image

mapping with various filtering techniques, bump mapping, and reflection mapping.

The inventive shader design and implementation do not cover ray-tracing and radiosity methods for global illumination. Due to the nature of inter-dependency among objects, global illumination relies on special-purpose renderers to perform shading-related operations such as the ray-intersection calculation for ray tracing, and the form-factor calculation for radiosity. The techniques above are directed to local illumination in which the shading can be determined without taking the surrounding objects into account. Since many techniques in global illumination involve recursive integration of local illumination, local illumination will be focussed upon. Global illumination is believed to be easily integrated into the system described below.

15 Shader Implementation

Figure 7 shows the shader object and its default shader hierarchy. The abstract base class TShader has the following major member methods:

```

20 virtual void ComputeShade(
    TShadingSample& shadingSample,
    const TSceneState& sceneState)=0;
    // SceneState has global variables such as a camera, and light sources.

25 virtual void GetShadingUsageVariables(
    TShadingUsageVariables& variables) const;
  
```

Looking at the TShader base class and the shader hierarchy in greater detail, the renderer asks the shader what type of variables are required by the shader. The TShadingSample is generated by the renderer (e.g., a Z-buffer) to allow TShader to compute the shading and determine the final color.

TReflectanceShader, a subclass of TShader, computes the color of the surface based on a simple light illumination shading model. TReflectanceShader is a default shader of the TImageMapShader, TBumpMapShader, TProcedureMapShader, TSurfaceShader, and TEnvironmentMapShader, all discussed individually below, and as shown in Figure 7 TReflectanceShader can be easily set within the utilities by SetChildShader(). TImageMapShader, TBumpMapShader, TProcedureMapShader, TSurfaceShader, and TEnvironmentMapShader query their utilities about the surface color/shading and then perform their respective

computations.

Figure 8 illustrates the shading variables initialized by a renderer. A 3D pipeline detects all variables needed in a shader by calling the shader's
5 GetShadingUsageVariables() routine several times, as shown, for example, in Figure 8. A renderer in a pipeline responds to the need by storing some valid information (shading normal and texture coordinates in this example) in TShadingSample. Eventually, a renderer will invoke a shader's
10 ComputeShade() to determine the color for display. A renderer only has to make variable inquiry once for a surface, not for every pixel during rendering. This is significant in terms of time and efficiency. Further, the renderer need only provide minimal information. A 3D pipeline may group a few items in TShadingSample, and generate the necessary information in each group during rendering.

15 Regarding derived shaders, a shader may be derived directly from the base TShader if the shader can generate colors based on the contents of TShadingSample alone. Since directly derived shaders are simple, they are best suitable for image previewing where the rendering speed is crucial. These
20 simple shaders produce just enough shading information to reveal the three-dimensionality of objects.

To incorporate a light illumination model into a shader, another derived class, TReflectanceShader, is examined. The TReflectanceShader class simulates
25 the way objects reflect light. It models the interactions of illumination with surface reflection properties to calculate the appropriate color of surfaces. From a simple flat shading to some advanced shading models such as ray-tracing, etc., a reflection model can always be divided into three major components: ambient reflection, diffuse reflection, and specular reflection. The implementations for
30 different illumination models vary significantly.

TReflectanceShader implements three key functions: Ambient(), Diffuse(), and Specular(), based on the basic reflection model presented above.

35 The default implementation of TReflectanceShader assumes that the color of a surface is the weighted sum of the intensity computed from Ambient(), Diffuse(), and Specular(). To understand the relationship between a shader, TShadingSample, a camera, and light sources in a pipeline, these three

functions are examined below, starting by defining some symbols, as shown in Figure 9 which will appear in many shading equations that follow. Additionally, the light classes provided in the operating system are shown in Figure 10. The relevant symbols are defined as follows:

5	K_a	= Ambient reflection coefficient.
	K_d	= Diffuse reflection coefficient.
	K_s	= Specular reflection coefficient.
	S_{exp}	= Specular concentration exponent
10	OC	= Object color
	LC	= Light Color
	L_{exp}	= Light concentration exponent (mainly for spotlight light objects)
	L_{att}	= Light attenuation factor based on the distance between the light and the point
15	N	= Surface normal
	L	= Light vector
	LO	= Vector from the light to an object
	R	= Light reflection vector
20	V	= Camera vector from the camera to a surface point

All derived light objects must implement the contribution of ambient, diffuse, and specular intensity. The member function ComputeIntensity() returns the color of a light at the location of interest (typically, the surface point to be shaded). The function ComputeIntensity() of TLight also allows users to create special light sources. For example, considering a 'window light', during rendering, the position of a point to be rendered lets a window light determine what the light intensity (either black or a color interpolated from the window boundary) is at that point.

Regarding coefficient setting, objects react to ambient, diffuse, and specular reflection differently. For example, a dull surface shows strong diffuse reflection, but very little specularity. In contrast, a shiny surface displays strong specular reflection. The TReflectanceShader class allows users to assign values to a set of coefficients (K_a as the ambient-reflection coefficient, K_d as the diffuse-reflection coefficient, and K_s as the specular-reflection coefficient) that simulate material properties. These coefficients characterize the material of the surface.

-18-

The preferred operating system preferably uses Phong's illumination model for calculating specular reflectance and assumes that a maximum highlight occurs when the angle between V and R vectors is zero and falls off sharply as the angle increases. This falloff is approximated by $\cos^n(\text{angle})$, where
 5 n is the material's specular-reflection exponent. A small value provides a broad falloff, whereas a higher value simulates a sharp specular highlight. The default implementation will scale an overflowed intensity back to a legal range.

TReflectanceShader has the following major member methods:

```

10      virtual void          ComputeShade(
          TShadingSample&    shadingSample,
          const TSceneState& sceneState);

15      //returns the total diffuse contribution from all    //light sources.

      virtual void Diffuse(
          const TShadingSample&    shadingSample,
          const TSceneState&    sceneState,
20      TColor&                  returnColor);

      //returns the total specular contribution from all    //light sources.

      virtual void Specular(
25      const TShadingSample&    shadingSample,
          const TSceneState&    sceneState,
          double                  specularExponent,
          TColor&                  returnColor);

30      virtual void          SetAmbientCoefficient(
          double                  ambientCoefficient);
      virtual void          SetDiffuseCoefficient(
          double                  diffuseCoefficient);
      virtual void          SetSpecularCoefficient(
35      double                  specularCoefficient);
      virtual void          SetSpecularExponent(
          double                  specularExponent);
  
```

Default Setting

```

40      Ambient Coefficient = .3;
      Diffuse Coefficient = .7;
      Specular Coefficient = 0.; // no specular highlight
      Specular exponent = 20.;
  
```

Example

aReflectanceShader.SetAmbientCoefficient(.15);

Turning to the member function ComputeShade () of the TReflectanceShader, this member function uses the following equation for shading evaluation (a shader tree version is shown in Figure 11):

$$\text{ObjectColor} * (\text{Ka} * \text{Ambient}() + \text{Kd} * \text{Diffuse}()) + \text{Ks} * \text{Specular}()$$

The variable ObjectColor in the equation above always uses fBaseColor of TShadingSample, which is either an inside color or an outside color as set by a renderer, as shown in Figure 12. To achieve better image quality, with some carefully-tuned reflection coefficients and the surface color, the simple equation used in TReflectanceShader generates images with a reasonable quality. However, due to the lack of surface detail, objects with TReflectanceShader sometimes appear to be either too smooth or too uniform. One way to increase the surface detail is to model the detail by 3D geometric primitives. For example, surface patches may be used to describe the wood patterns of a floor.

However, as detail becomes finer, modeling with geometric primitives to simulate the surface detail becomes impossible. The preferred operating system provides alternatives to increase surface detail by mapping images, either from real digitized images or procedurally-defined "virtual" images, to 3D primitives.

Utility classes that use available image and procedure textures libraries are also advantageously used by the shader framework. For example, a map can represent base colors of a surface, it can be used for normal vector perturbation (a "bump map"), or it may represent a simple reflection that simulates the surrounding environment of 3D objects. The inventive operating system provides a set of mapping utilities that can be used in mapping-related shaders.

The preferred operating system's texture mapping utilities provide functions to generate mapping parameters (u,v) for 3D geometries. The preferred operating systems texture mapping facilities provide two approaches to solving aliasing in texture mapping. One approach is to use space variant filtering, by which the dimension of the filter is recomputed for every pixel. Since each pixel on the screen has a different corresponding texture boundary, a space-variant filter is essential for solving aliasing. By default, a quadrilateral

texture area is approximated with either a square or a rectangle, as shown in Figure 14 and 15. Users may ask a renderer to supply the two base vectors of an ellipse filter for super-quality texture mapping.

5 A second approach is to pre-filter using a mip-map technique that requires three indices (texture, position, and the filter diameter) to determine the value in a map. The preferred operating system's default renderer produces the information for a space-variant filter to be used for mip-map access. Upon receiving the information, a mip-map extracts values from an appropriate sub-
10 map.

The preferred operating system provides a several map utility classes, e.g., TImageMap, TBumpMap, TProcedureMap, and TEnvironmentMap, or the like, which can be used by various shaders. Each map class contains a mip-map,
15 constructed internally, for anti-aliasing.

TImageMap, which is another map utility, is the texture map used for color modification. TImageMap can be used in shaders that interpret the contents in a map as surface color modification. The value extracted from a
20 map can be used as a direct color substitution, as an index to another color map, or as a multiplier. The scheme of interpretation is entirely determined by the shaders. A TImageMap texture is only applied to the skin (e.g., surface) of geometric primitives during rendering (e.g., similarly to wallpaper being applied to the surfaces of walls). The TImageMap class has the following major
25 methods:

```
virtual void       GetValue(  
                  const TShadingSample&       info,  
                  TColor&                    returnColor)  
30   const=0;
```

Hereinbelow is described TBumpMap, which is a texture mapping function used for normal perturbation. Bump mapping, as shown in Figures 16 and 17, is a useful technique because it simulates a bumpy or dimpled surface
35 rather than altering the surface geometry (which is sometimes impossible) or modulating the color of a flat surface. An example of the pseudo code for the TBumpMap class is listed below:

```
virtual TGPoint3D GetValue(
```

2147846

-21-

```
const TShadingSample&      ShadingSample)      const=0;
```

5 Another map utility included in the inventive operating system is T
EnvironmentMap, which is a texture map used for reflection as illustrated
in Figures 18 and 19. The pseudo code for TEnvironmentMap is listed
below:

```
10 virtual void GetValue(  
    const TShadingSample&      info,  
    const TGPoint3D& eyeVector, TColor& retVal)  
    const=0;
```

15 The colors of a surface can also be defined procedurally, by which colors
are generated on the fly based on the position, normal, and some other
geometric information of an object. For example, a surface with a checkerboard
pattern may be displayed by evaluating the texture coordinates during
rendering. In this case, a huge 2D checkerboard image need not be generated to
be used as a texture.

20 A first concept is that of procedure texture. The major difference between
an image texture and a procedure texture is that the latter is defined over a
three dimensional region and therefore it permits texture to be applied without
regard to the shape of an object. Additionally, procedure textures generally have
very low memory requirements. This unique feature allows a complex image to
25 have many 3D objects made of different materials. Further, they can be
generated at controllable levels of detail, they can be band-limited to avoid
aliasing problems, and they usually require few parameters to define the
mapping.

30 The center element of a procedure shader is the noise generation and
how to use the noise. The preferred operating system provides a base class
TGrafNoise and a default derived class TLatticeNoise, with all default
procedure textures preferably using TLatticeNoise (which can be replaced by a
user's noise object, if desired). The pseudo code for the base TNoise object is
35 listed below:

```
class TGrafNoise  
{  
40     public:  
    virtual double
```


-22-

```

        Noise(      double point) const = 0;

    virtual double
        Noise(      const TPoint& point) const = 0;
5
    virtual      double
        Noise(      const TPoint3D& point) const = 0;

    virtual      TPoint3D
10        DNoise(    const TPoint3D& point) const = 0;

    virtual      double
        Turbulence(    double point,
                                double
15 pixelSize = 0.1) const = 0;

    virtual      double
        Turbulence(    const TPoint& point,
                                double
20 pixelSize = 0.1) const = 0;

    virtual      double
        Turbulence(    const TPoint3D& point,
                                double
25 pixelSize = 0.1) const = 0;

    virtual      TPoint3D
        DTurbulence(    const TPoint3D& point,
                                double
30 pixelSize = 0.1) const = 0;
    }

```

The preferred operating system preferably provides a few built-in
 procedure maps which simulate materials such as marble, granite, and wood.
 Shaders that contain these maps produce images as if 3D objects were made of a
 solid material. Procedure texture maps can also simulate the normal
 perturbation, without a texture image as needed in the TBumpMap base class.

The base class TProcedureMap provides all variable inquiry routines
 such as GetRequiredShadingVariables() so that a shader that contains this
 procedure map can inform a renderer to provide the necessary information.
 The preferred operating system's sample procedure maps are illustrated in
 Figure 20.

As an example, a complete sample map, TMarbleProcedureMap, will be
 examined to illustrate the relation between TNoise and procedure maps.

-23-

Figure 21 shows a class diagram that assigns colors to procedural shaders. By following this sample class, users may write other shaders of various material attributes without difficulty. An example of the pseudo code is presented below.

```

5  class TProcedureMap
    {
        public:
10     virtual void      GetValue(
            TShadingSample&          shadingSample,
            const TSceneState&        sceneState)          const=0;

        // Indicate which field is required in //TShadingSample.
15     // Shader needs this information to tell a //renderer what variables
        to feed.
        virtual void GetRequiredShadingVariables(
            TShadingUsageVariables& variables) const=0;

20     // Indicate which field is modified in //TShadingSample.
        // Shader needs this information to save and store //variables in
        TShadingSample
        virtual void GetModifiedShadingVariables(
            TShadingUsageVariables& variables) const=0;
25  }

    class TNoiseProcedureMap : public TProcedureMap
    {
        public:
30         // Allow to set a user-defined noise object    virtual void
        SetGrafNoise(
            const TGrafNoise&        noise)=0;

35         virtual void      GetValue(
            TShadingSample&          shadingSample,
            const TSceneState&        sceneState)          const=0;

40         // Indicate which field is required in
        //TShadingSample.
        // default to 'WorldPosition' only, //subclasses
        override them
        virtual void GetRequiredShadingVariables(
45         TShadingUsageVariables& variables) const;

        // Indicate which field is modified in
        //TShadingSample.

```

-24-

```

// Shader needs this information to save and //store
variables in TShadingSample
virtual void GetModifiedShadingVariables(
    TShadingUsageVariables& variables) const=0;
5 }

class TMarbleProcedureMap : public TNoiseProcedureMap
{
    public:
10 virtual void SetGrafNoise(
    const TGrafNoise& noise);

    // determine the color
    virtual void SetColorSpline(
15 const TShaderColorSpline& spline);
    virtual void GetValue(
    TShadingSample& info, const
    TSceneState&)const;
    // Indicate which field is modified in //TShadingSample.
20 // Shader needs this information to save and store //variables in
    TShadingSample
    virtual void GetModifiedShadingVariables(
    TShadingUsageVariables& variables) const;

    }
25

```

A procedure map can also alter the incoming normal as provided by the default TWrinkleProcedureMap.

Previously, some utility classes were discussed that either produce the surface color or affect the shading normal. TImageMapShader has a pointer to TImageMap (initialized to NIL, set by users).

TImageMapShader also has a default TReflectanceShader. Once the color is extracted from TImageMap, the color will be modified by light sources through the default TReflectanceShader. The default TReflectanceShader can be easily replaced by any shader through SetChildShader(). An example of the pseudo code is illustrated below.

```

class TImageMapShader : public TShader{
40 TImageMapShader();
    TImageMapShader(const TImageMap& map);
    TImageMapShader(
        const TImageMap& map,
        const TShader& childShader);

```

-25-

```

TImageMapShader(const TImageMapShader& source);

virtual ~TImageMapShader();
5
virtual void SetImageMap(
    const TImageMap& imageMap);

virtual const TImageMap*    GetImageMap()const;
10
virtual void ComputeShade(
    TShadingSample& shadingSample,
    const TSceneState& sceneState);

15
virtual void SetChildShader(
    const TShader& aShader);

virtual TShader*    GetChildShader() const;

20
virtual void GetShadingUsageVariables(
    TShadingUsageVariables&
    variables) const;

}

```

25 An example of the pseudo code for TImageMapShader is shown listed below.

```

a    GrafBundle = new TGrafBundle3D();
a    GrafBundle ->AdoptOutsideShader( new
    TImageMapShader(TImageMap(aTImage) ));
30

```

35 TBumpMapShader has a pointer to TBumpMap (initialized to NIL, set by user) and also has a default TReflectanceShader. Once the original normal is modified by TBumpMap, the color of the object will be modified by light sources, based on the new normal. The default TReflectanceShader can easily be replaced by any shader through SetChildShader(). An example of the pseudo code is set forth below.

```

class TBumpMapShader : public TShader{
    TBumpMapShader();
40
    TBumpMapShader(
        const TBumpMap& map);

    TBumpMapShader(const TBumpMap& map,

```

-26-

```

        const TShader& childShader);

    TBumpMapShader(
        const TBumpMapShader& source);
5
    virtual    ~TBumpMapShader();

    virtual void    SetBumpMap(
        const TBumpMap& bumpMap);
10
    virtual const    TBumpMap* GetBumpMap()const;

    virtual void    ComputeShade(
        TShadingSample&    shadingSample,
15        const TSceneState&    sceneState);

    virtual void    SetChildShader(
        const TShader& aShader);

    virtual TShader*    GetChildShader() const;

    virtual void    GetShadingUsageVariables(
        TShadingUsageVariables&    variables) const;
    )
25
    TProcedureMapShader has a pointer (e.g., TProcedureMapShader is a
    subclass) to TProcedureMap (initialized to NIL, set by user) that may modify
    many fields of TShadingSample. TProcedureMapShader also has a default
    TReflectanceShader that computes color based on light sources and the
    modified TShadingSample. An example of the pseudo code is shown below.
30
    class TProcedureMapShader : public TShader{

        TProcedureMapShader();
        TProcedureMapShader(const TProcedureMap& map);
35        TProcedureMapShader(
            const TProcedureMap& map,
            const TShader& childShader);
        TProcedureMapShader(
            const    TProcedureMapShader& source);
40
        virtual    ~TProcedureMapShader();
        virtual void    SetProcedureMap(
            const    TProcedureMap& procedureMap);

        virtual const    TProcedureMap*
45        GetProcedureMap()const;

```

-27-

```

    virtual void      ComputeShade(
        TShadingSample& shadingSample,
        const TSceneState& sceneState);

5      virtual void      SetChildShader(
        const TShader& aShader);

    virtual TShader*   GetChildShader() const;

10     virtual void      GetShadingUsageVariables(
        TShadingUsageVariables&      variables) const;

        // transform fWorldPosition by this matrix
        // if this routine is never called, ComputeShade() //just uses
15     fWorldPosition without any //transformation
        virtual void      SetMatrix(
            const TGrafMatrix3D& matrix);
        virtual const TGrafMatrix3D* GetMatrix () const;
    }

20     An example of the pseudo code for TProcedureMapShader is below.
        aGrafBundle = new TGrafBundle3D();
        aGrafBundle ->AdoptOutsideShader( new
            TProcedureMapShader(TMarbleProcedureMap()));

25     In addition to TImageMapShader, TBumpMapShader, and
        TProcedureMapShader, the preferred operating system also provides a
        convenient shader, called TSurfaceShader, that includes many pointers
        (initialized to NIL, set by users) to map utilities classes provided by the preferred
        operating system. Most applications may only have to use this shader.

30
        Since the order of map access affects the result of computation,
        TSurfaceShader accesses the map that modifies the surface color first, followed
        by the map that modifies the shading normal.
        An example of the pseudo code for the TSurfaceShader class is listed below:

35     class TSurfaceShader : public TShader
    {
        TSurfaceShader();
        TSurfaceShader(const TSurfaceShader& source);
40     TSurfaceShader(const TShader& childShader);          virtual
        ~TSurfaceShader();

        virtual void SetImageMap(
            const TImageMap& imageMap);

```

-28-

```

// set a procedure map, also tells if the map //modifys the normal
virtual void      SetProcedureMap(
    const TProcedureMap& procedureMap,
    Boolean forNormal = FALSE);
5  virtual void      SetBumpMap(
    const TBumpMap& bumpMap);
virtual const TImageMap* GetImageMap() const;
virtual const      TProcedureMap* GetProcedureMap(
    Boolean forNormal = FALSE) const;
10 virtual const      TBumpMap* GetBumpMap() const;          virtual
void      RemoveImageMap();
virtual void      RemoveProcedureMap(
    Boolean forNormal = FALSE);
virtual void      RemoveBumpMap();
15 virtual void      ComputeShade(
    TShadingSample& shadingSample,
    const TSceneState& sceneState);
virtual void SetChildShader(
    const TShader& aShader);
20 virtual TShader*  GetChildShader() const;
virtual void GetShadingUsageVariables(
    TShadingUsageVariables& variables) const;

```

25 Figures 22-26 are examples illustrating various shaders in accordance
 with a preferred embodiment. While shader designs have been discussed
 above which compute shading directly from the light source, these shading
 models are generally referred to as local illumination models. With some
 carefully tuned shading parameters, light setting, and texture maps, local
 illumination can generate images for many applications. Since neighboring
 30 surfaces are not part of the shading computation in local illumination, the
 shadows caused by surfaces are ignored. However, the system discussed above is
 applicable to real environments in which the lighting and reflections are more
 complicated than local illumination. Specifically, every surface receives light
 directly from light sources, or indirectly from reflections from neighboring
 35 surfaces, e.g., global illumination. Global illumination models can be
 incorporated into the system described above and should be considered an
 extension of the shaders discussed above.

40 In sum, a method and system have been described above for use in an
 object oriented framework for determining the shading of a 3D surface during
 rendering and in which a renderer is provided with shading-related objects and
 options that guide shading calculation. In one aspect of the invention, a shader
 is provided for computing shading from light sources and surface orientation

alone. The colors are the weighted sum of the ambient, diffuse, and specular reflection. The shader may include facilities for generating a texture map, a bump map, and a reflection map in the shading model to increase realism. Additionally, shading may be performed with a procedural definition so as to
5 generate images as if objects are made of a real material such as wood or marble.

With the invention, the user freely chooses appropriate shaders according to trade-offs between speed and image quality. With the invention,
10 the shader is established and then the renderer invokes the shader, thereby creating an efficient system which is easily extendible to a plurality of shaders and with minimal (if any) modification of the renderer. Thus, the invention is clearly advantageous over the conventional systems which provide fixed or limited sets of shading effects. Further, an interface between a rendering
15 routine and a shader, is provided in which little if any modification of a renderer is required when a different shader is used. Thus, the shaders are extremely easy to use and implement. Further, the modification of the shaders is minimized whenever a different renderer is used. Hence, with the object oriented framework of the invention, extendibility for an unlimited number of
20 shading models is easily provided.

While the invention has been described in terms of a preferred embodiment, those skilled in the art will recognize that the invention can be practiced with modification within the spirit and scope of the appended claims.

CLAIMS

Having thus described my invention, what I claim as new and desire to secure by Letters Patent is as follows:

5

1 1. A method of displaying information using an object oriented framework
2 in a processor with an attached display and memory, comprising the
3 steps of:

4 (a) displaying an item;

5 (b) establishing a plurality of user-defined components for processing data
6 representing the item being displayed, the user-defined components
7 containing predetermined attributes therein;

8 (c) detecting at least one property of a plurality of properties of the item
9 being displayed;

10 (d) generating, based on the at least one property and at least one of the user-
11 defined components for the item, a shading calculation for at least a
12 portion of the item; and

13 (e) modifying a display of the item based on the shading calculation,
14 wherein the plurality of user-defined components are selectively
15 interchangeable with each other for respectively modifying desired ones
16 of the plurality of properties of the item.

1 2. A method as recited claim 1, wherein the property detecting step includes
2 determining a geometry of the item, an illumination amount from at
3 least one light source, a direction of the item from the at least one light
4 source, a position of the item in a predetermined coordinate system, a
5 shading normal, a texture of a surface of the item, a reflectance property
6 of the surface of the item, and a base color of the surface.

1 3. A method as recited in claim 1, wherein the modifying step includes
2 adjusting a color of the item being displayed.

1 4. A method as recited in claim 1, wherein the step of automatically
2 generating a shading calculation includes determining a color of a surface
3 of the item for display.

1

2

-31-

- 3 5. A method as recited in claim 1, wherein the step of automatically
4 generating a shading calculation includes determining an amount of
5 shading calculation information to represent a dimensionality of the
6 item.
- 1 6. A method as recited in claim 2, wherein the step of determining a
2 reflectance property of the surface of the item includes determining at
3 least one of a plurality of reflection components of the surface of the
4 item, the reflection components including an ambient reflection
5 component, a diffuse reflection component and a specular reflection
6 component
- 1 7. A method as recited in claim 2, wherein the step of determining an
2 illumination amount includes determining a class of light of the at least
3 one light source.
- 1 8. A method as recited in claim 2, wherein the step of determining a
2 reflection component of the surface includes interactively selecting a
3 reflectance coefficient based on a material of the surface of the item.
- 1 9. A method as recited in claim 1, wherein the step of generating a shading
2 calculation includes generating a texture map of a surface of the item
3 based on predetermined mapping parameters.
- 1 10. A method as recited in claim 9, wherein the step of generating a texture
2 map includes generating at least one of an image map, a bump map, an
3 environment map and a procedure map.
- 1 11. A method as recited in claim 1, wherein the step of automatically
2 generating a shading calculation employs an object-oriented operating
3 system.

- 1 12. An apparatus for displaying information using an object oriented
2 framework, comprising:
3 (a) means for displaying an item;
4 (b) a plurality of user-defined components each for processing data
5 representing the item being displayed, the user-defined components
6 including predetermined attributes therein;
7 (c) means for detecting at least one property of a plurality of properties of a
8 surface of the item being displayed; and
9 (d) means for generating, based on the at least one property of a plurality of
10 properties of the surface of the item and at least one of the user-defined
11 components for the item, a shading calculation for the surface of the
12 item, wherein the detecting means includes means for modifying a
13 display of the item based on the shading calculation, the plurality of user-
14 defined components comprising a plurality of shaders which are
15 selectively interchangeable with each other for modifying desired ones of
16 the properties of the surface of the item and which are each freely
17 compatible with the modifying means.
- 1 13. An apparatus as recited in claim 12, including at least one light source,
2 wherein the property detecting means comprises means for determining
3 at least one of a geometry of the surface, an illumination amount from at
4 least one light source, a direction of the item from the at least one light
5 source, a position of the item in a predetermined coordinate system, a
6 shading normal, a texture of the surface, a reflectance property of the
7 surface of the item, and a base color of the surface.
- 1 14. An apparatus as recited in claim 12, wherein the modifying means
2 includes means for adjusting a color of the item being displayed.
- 1 15. An apparatus as recited in claim 12, wherein the means for automatically
2 generating a shading calculation includes means for determining a color
3 of the surface of the item for display.
- 1 16. An apparatus as recited in claim 12, wherein the means for generating a
2 shading calculation includes means for determining an amount of
3 shading calculation information to represent a dimensionality of the
4 item.

-33-

- 1 17. An apparatus as recited in claim 13, wherein the means for determining
2 a reflectance property of the surface of the item includes means for
3 determining at least one of a plurality of reflection components of the
4 surface of the item, the reflection components including an ambient
5 reflection component, a diffuse reflection component and a specular
6 reflection component.
- 1 18. An apparatus as recited in claim 17, wherein the means for determining
2 a reflection component of the surface includes means for interactively
3 determining and selecting a reflectance coefficient based on a material of
4 the surface of the item.
- 1 19. An apparatus as recited in claim 12, wherein the means for automatically
2 generating a shading calculation includes means for generating a texture
3 map of the surface of the item based on mapping parameters.
- 1 20. An apparatus as recited in claim 19, wherein the means for generating a
2 texture map includes means for generating at least one of an image map,
3 a bump map, an environment map and a procedure map.
- 1 21. An apparatus as recited in claim 12, wherein the means for generating a
2 shading calculation employs an object-oriented operating system.
- 1 22. An object-oriented display system, comprising:
2 (a) means for displaying an image of an item;
3 (b) means for determining shading information associated with the image of
4 the item; and
5 (c) a plurality of user-defined shaders, each of the shaders containing
6 predetermined attributes to be selectively and interactively coupled to the
7 shading information determining means, for performing a shading
8 calculation.
- 1 23. A object-oriented display system as recited in claim 22, wherein the
2 plurality of shaders are modular and freely interchangeable with one
3 another.

-34-

- 1 24. A display system as recited in claim 22, wherein the plurality of shaders
2 includes at least one means for computing a reflectance of the surface and
3 means for generating a map of the image, the map generating means
4 comprising at least one of means for mapping an image of a surface of
5 the item, means for texture mapping the image of the surface of the item,
6 means for bump mapping the image of the surface, means for
7 procedurally mapping the image of the surface and means for creating an
8 environment map of the image of the surface.
- 1 25. A display system as recited in claim 24, wherein the shading information
2 determining means comprises a renderer for receiving the shading
3 calculation and providing a computed final shading to the display means
4 to modify the image of the object being displayed.
- 1 26. A display system as recited in claim 22, including means for setting a
2 default user-defined component if no component is selected within a
3 predetermined time.

1/16

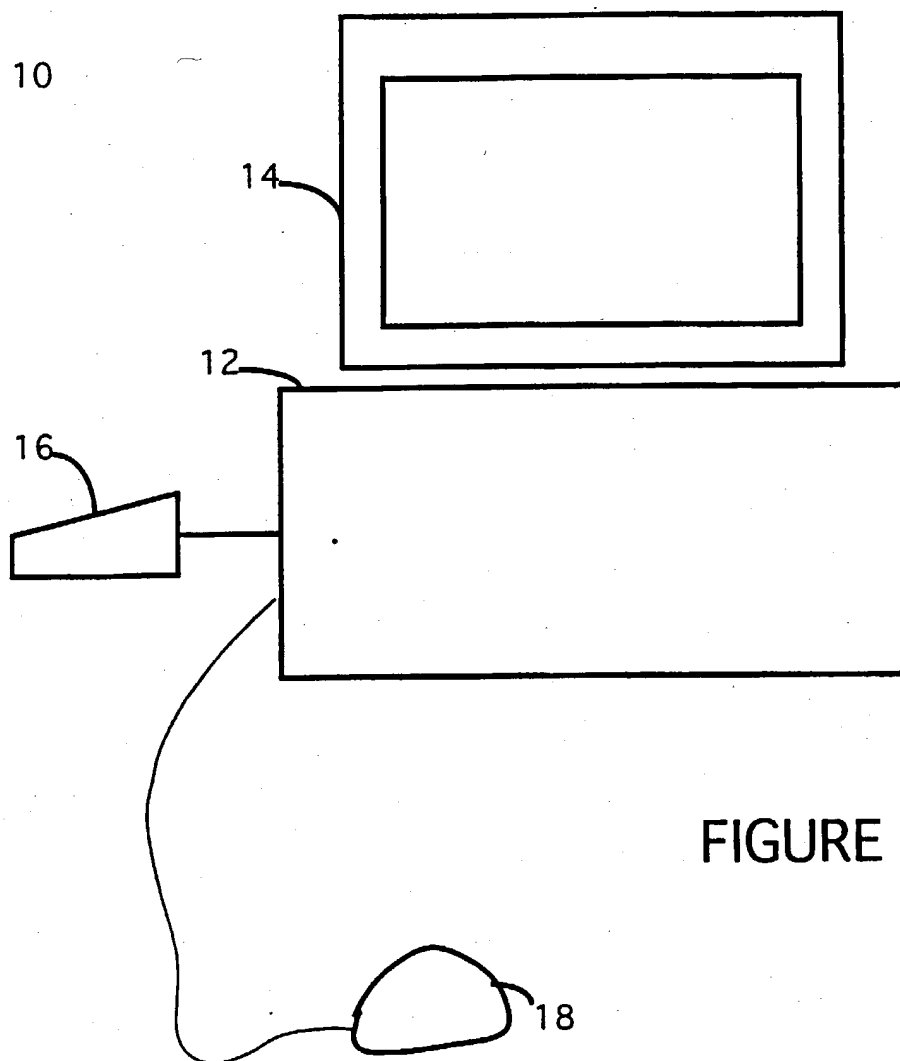


FIGURE 1

2 / 1 6

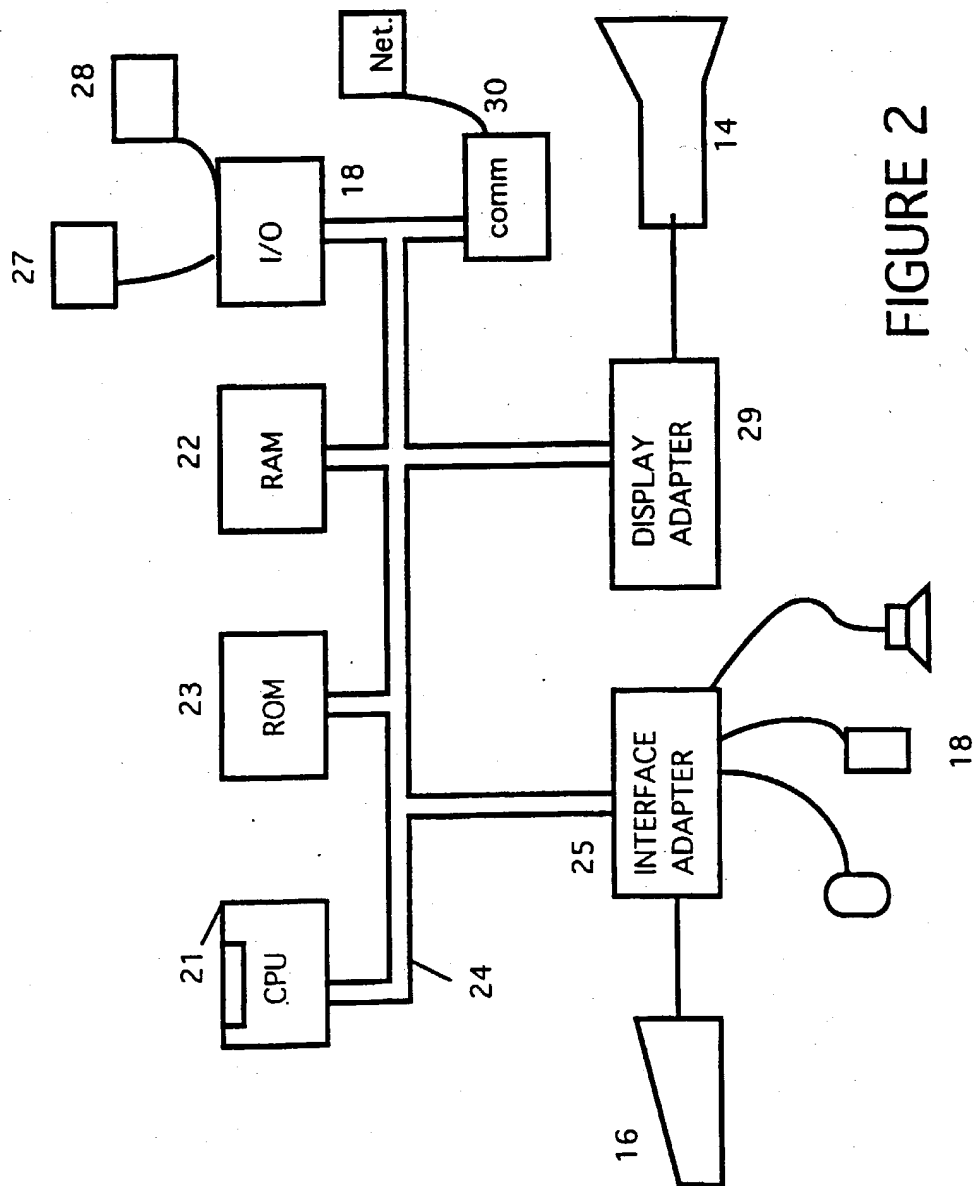


FIGURE 2

3 / 16

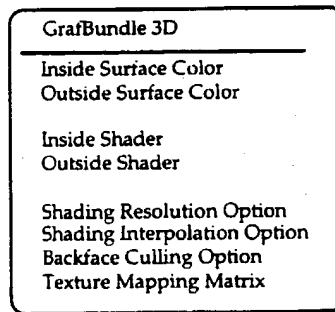
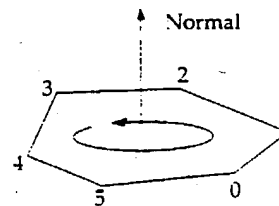
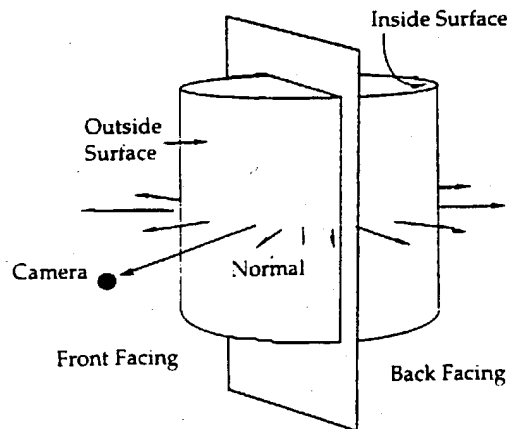


Figure 3



Right-hand rule to determine the direction of the normal and the inside or the outside surface. If a surface is presented by 0-1-2-3-4-5, it is an outside surface.

Figure 4

4/16

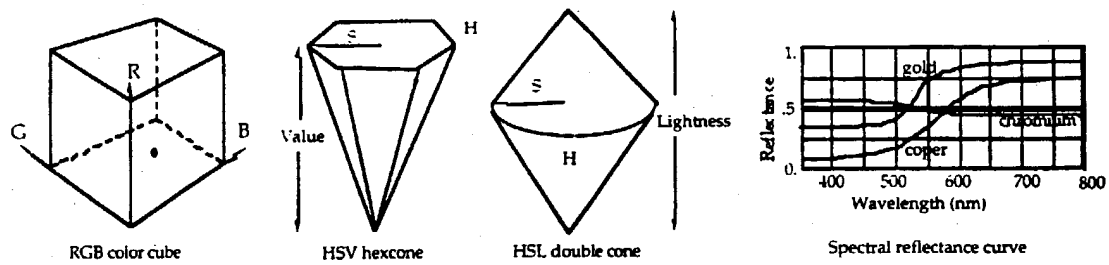


Figure 5

5 / 16

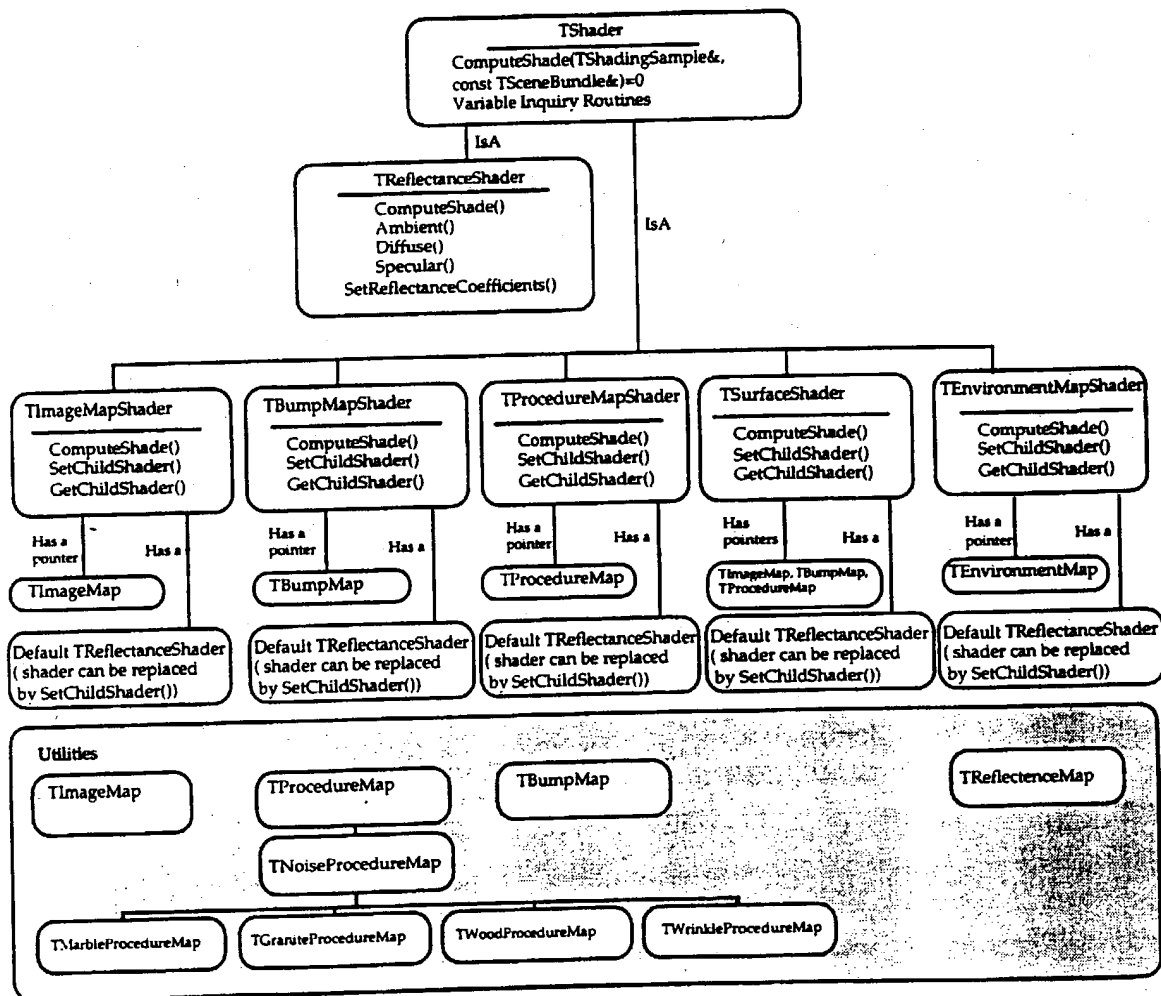


Figure 7

6 / 16

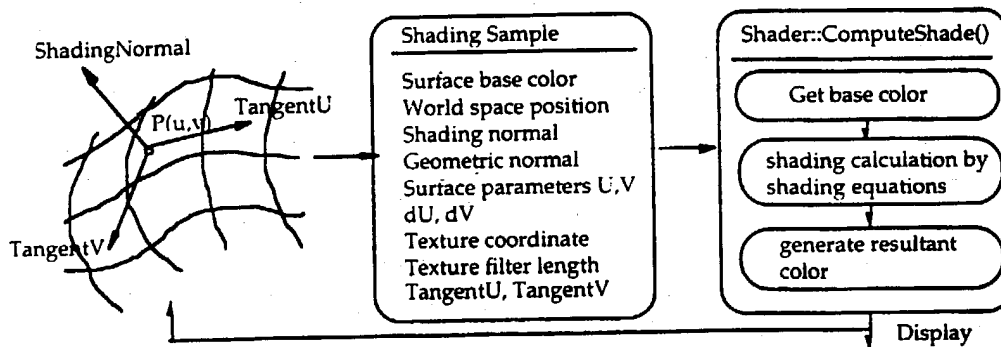


Figure 6

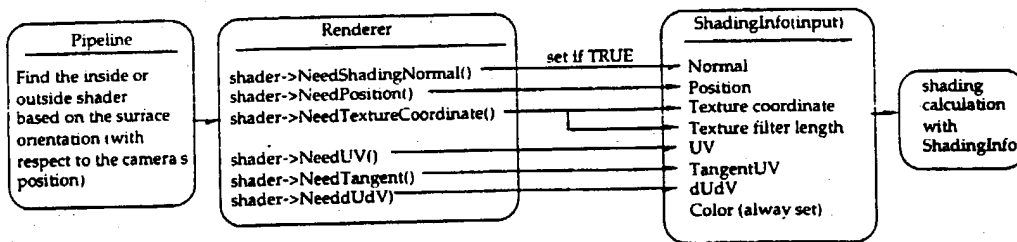
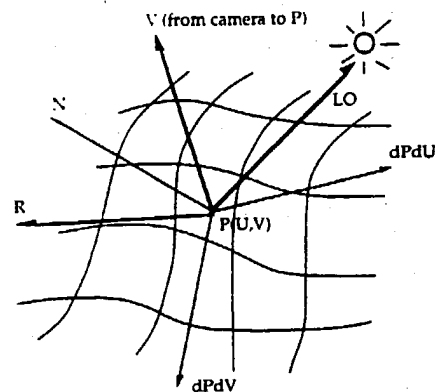


Figure 8

7 / 16



- K_a = Ambient reflection coefficient.
- K_d = Diffuse reflection coefficient.
- K_s = Specular reflection coefficient.
- S_{exp} = Specular concentration exponent
- OC = Object color
- LC = Light Color
- L_{exp} = Light concentration exponent (mainly for spot light objects)
- L_{att} = Light attenuation factor based on the distance between the light and the point
- N = Surface normal
- L = Light vector
- LO = Vector from the light to an object
- R = Light reflection vector
- V = Camera vector from the camera to a surface point

Figure 9

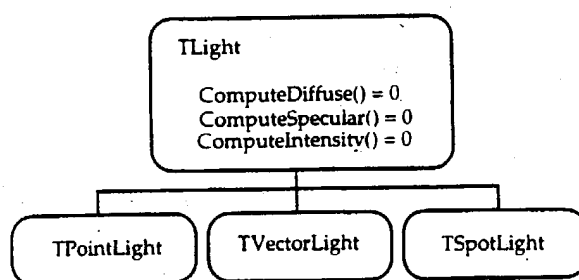


Figure 10

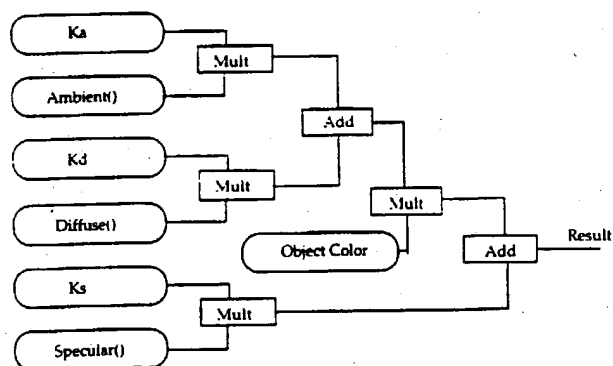


Figure 11

9 / 16

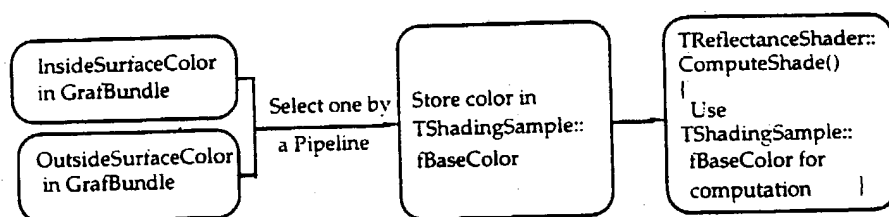


Figure 12

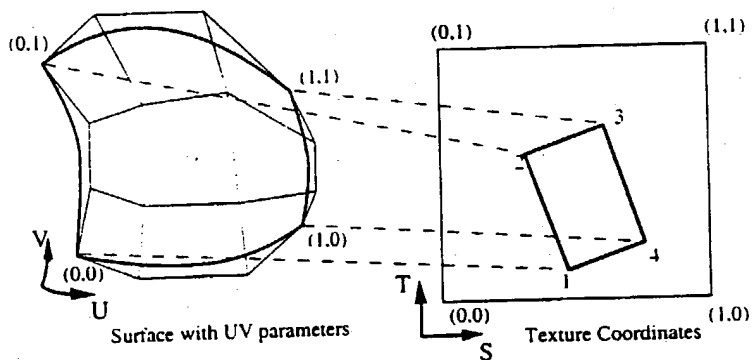


Figure 13

10/16

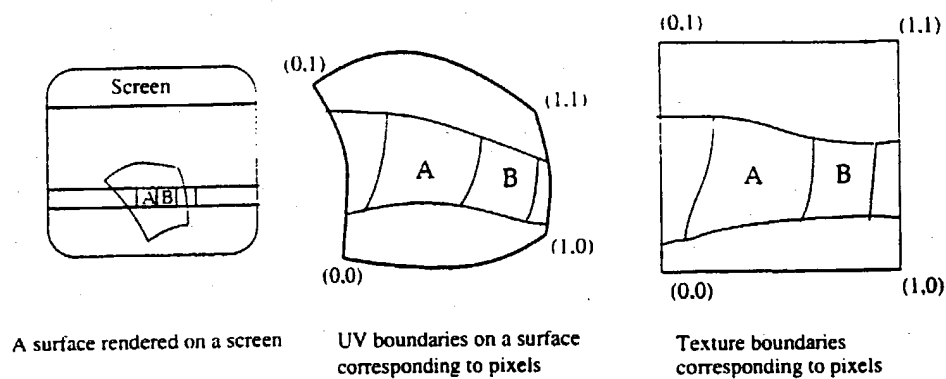


Figure 14

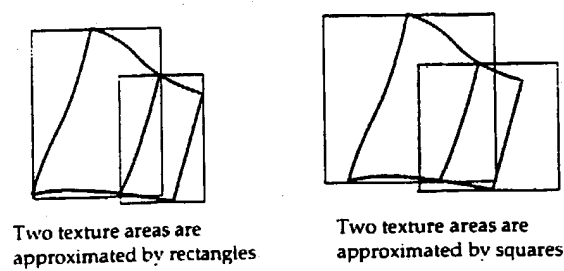


Figure 15

11/16

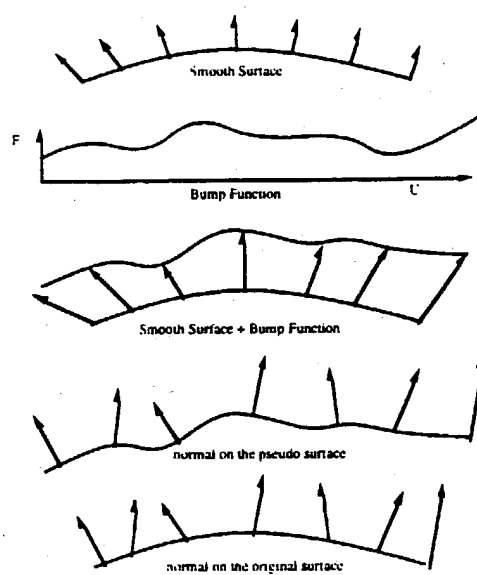


Figure 16

12/16

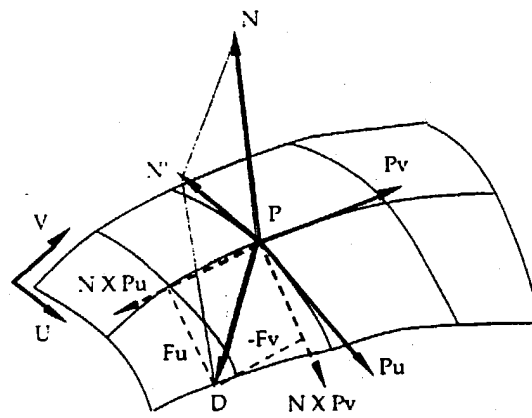


Figure 17

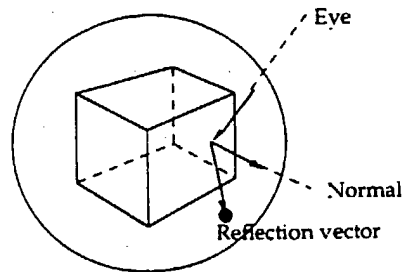


Figure 18

13/16

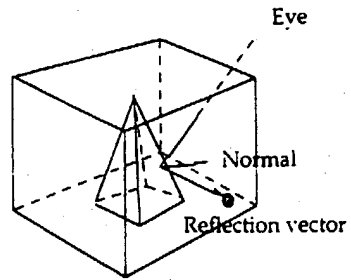


Figure 19

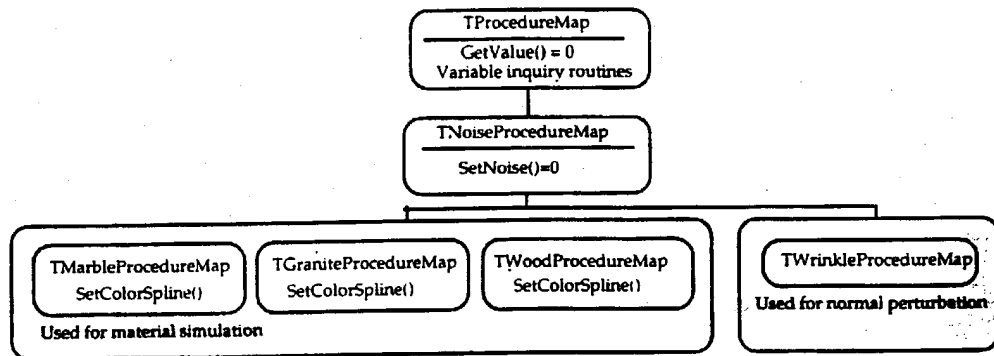


Figure 20

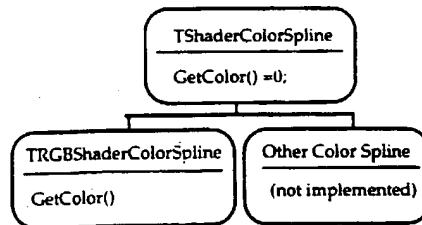


Figure 21

14/16

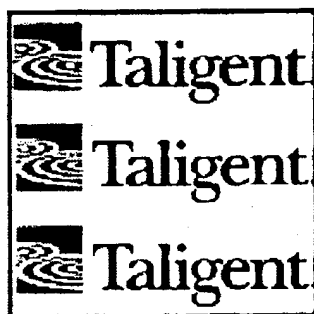


Figure 22

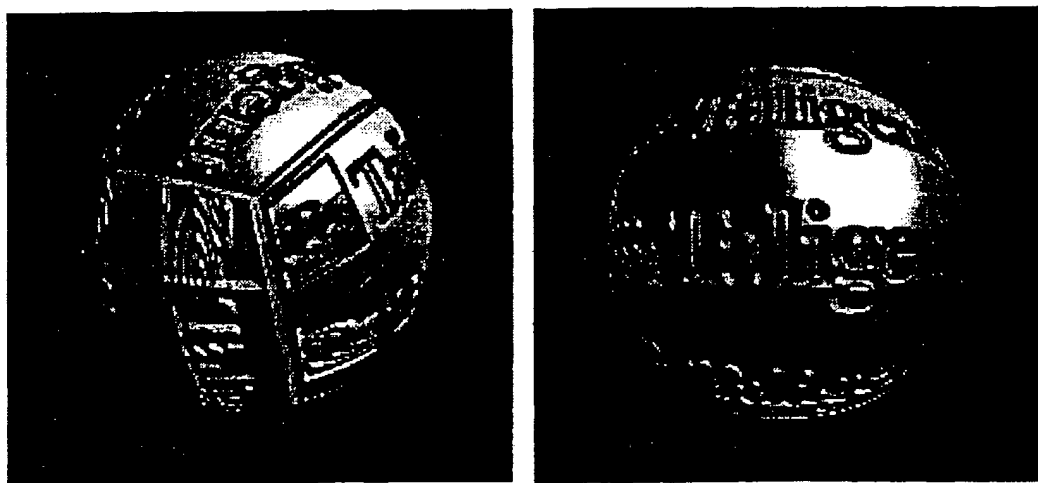


Figure 23



Figure 24

16/16

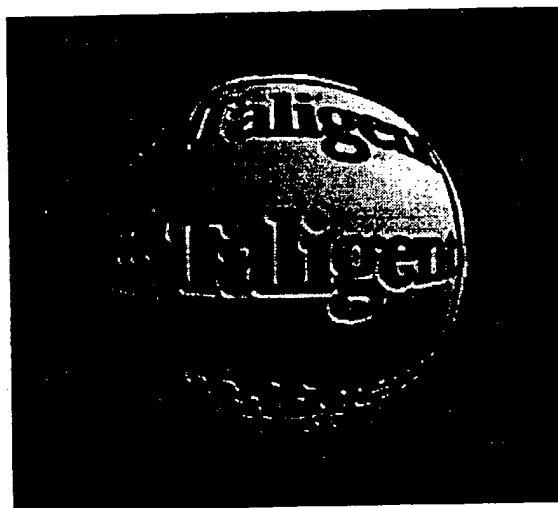


Figure 25

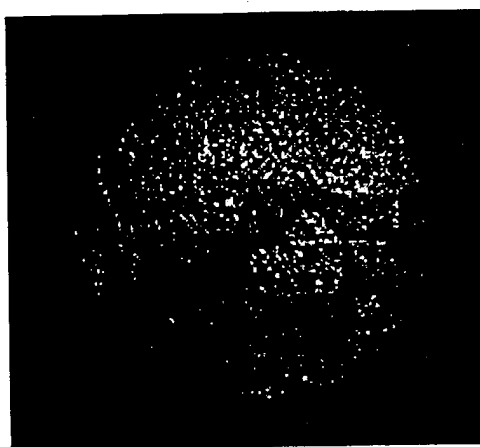
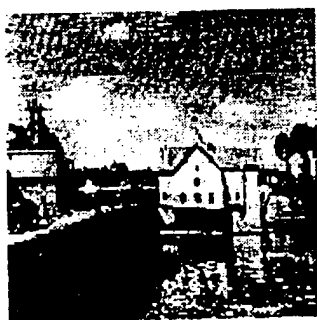


Figure 26